



Docket No. 4167-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): M. Suzanne BRADSHAW et al.

Group Art Unit: TBA

Serial No.: 09/729,043

Examiner: TBA

Filed: December 4, 2000

For: A NEW YEAST-BACTERIA SHUTTLE VECTOR

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: **EV 062745809 US**

Date of Deposit: **May 3, 2002**

I hereby certify that the following attached paper(s) and/or fee

1. Amendment in Response to Notice to Comply (9 pages plus 1 duplicate page)
2. A copy of the Notice to Comply (1 page)
3. Paper Copy of the Substitute Sequence Listing (3 pages)
4. A Statement to Support Filing and Submission of Sequence Listing in Accordance with 37 C.F.R. §§1.821-1.825 (1 page)
5. A computer diskette containing the Substitute Sequence Listing
6. A Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, Washington, DC 20231

William Kim

(Typed or printed name of person mailing paper(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

05-06-02

Page 1 of 1

03C0



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/729,043	12/04/2000	M. Suzanne Bradshaw	4167-4000

CONFIRMATION NO. 4527

FORMALITIES LETTER



OC000000007624652

Morgan & Finnegan LLP
 345 Park Avenue
 New York, NY 10154

Date Mailed: 03/13/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
 DISCLOSURES**

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE